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Exchange of information on certain requests for authorisations concerning Iran

*Implementation of information exchange pursuant to
Article 3a(5) and (7) of Council Regulation (EU) No
267/2012 as amended*

RELEX Group meeting
Brussels, 22 February 2016

Provisions on information exchange relevant for DUEs

- **Article 3a(5)**

*The competent authorities shall **exchange information on requests for authorisation** received under this Article. The **system referred to in Article 19(4) of Regulation (EC) No 428/2009** shall be used for this purpose.*

Article 3a

Concerned authorisation requests

- **Sale, supply, transfer or export** of goods and technology listed in Annex II;
- Provision of **technical assistance** or **brokering services** related to goods and technology listed in Annex II;
- **Provision of financing or financial assistance** related to goods and technology listed Annex II;
- **Intention to enter into any arrangement with Iranian persons**, entities or bodies, that would enable them to participate in or increase their participation in commercial activities involving technologies listed in Annex II;
- **Purchase, import or transport** of goods and technology listed in Annex II from Iran.

New DUEs functionality: timeline

- **Discussion** with MS at the DUCG meeting on **26 January 2016**
- **Comments** by MS to be sent by **15 February 2016**
- **Finalisation** of the DUEs IT Note on **19 February 2016**
- **Possible launch in April 2016**



The screenshot shows the user interface of the Dual Use e-System TEST. At the top left is a globe icon and the text "Dual Use e-System" with the European Union flag. To the right of this is the word "TEST" in large red letters. A navigation menu at the top right includes links for Home, Denials, Reports, Library, Admin, Logs, and User Guide. Below the navigation menu is a search bar labeled "Search denials:" with a search icon and a link to "Advanced search". A blue arrow points to the search bar. Below the navigation menu is a green bar with "Home" on the left and "Logged in: Maryna TSUKANOVA" on the right. Below the green bar is a yellow bar with the text "Welcome to the Dual Use e-System". Below the yellow bar is a "News" section with a single bullet point: "On 07 January 2016 a new version of DUES 1.10.3 has been released. The updated version incorporates the updated EU control list as per Commission Delegated Regulation 2015/2420 of 12 October 2015, which entered into force on 25 December 2015 (See OJ L340/1 of 24 December 2015)."

New DUEs functionality: scope

- **Transactions covered**

Exports of goods and technology listed in Annex II;

Provision of **technical assistance** or **brokering services** related to goods and technology listed in Annex II;



- Possibility to **consult authorisations** notified by other MS
- Implementation of **Article 3a(7)**

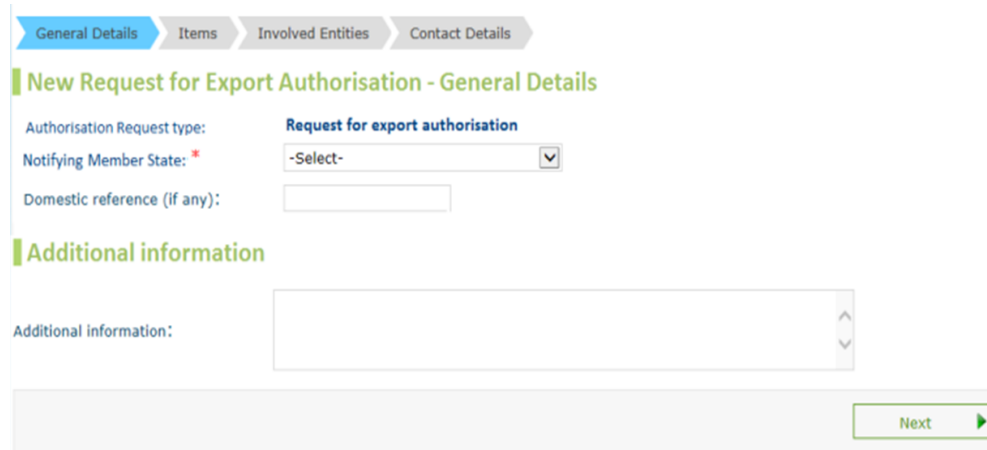
Example: Request for Export Authorisation

- Same basic structure



Request for Export Authorisation (2)

Section “General details”



The screenshot shows a web form titled "New Request for Export Authorisation - General Details". At the top, there are four tabs: "General Details" (active), "Items", "Involved Entities", and "Contact Details". Below the tabs, the form contains the following fields:

- Authorisation Request type:** A dropdown menu with the selected value "Request for export authorisation".
- Notifying Member State:** A dropdown menu with the selected value "-Select-".
- Domestic reference (if any):** An empty text input field.
- Additional information:** A large text area with a vertical scrollbar, currently empty.

At the bottom right of the form, there is a "Next" button with a right-pointing arrow.

'Notifying Member State*'

'Domestic reference (if any)'

'Additional information'

Request for Export Authorisation (3)

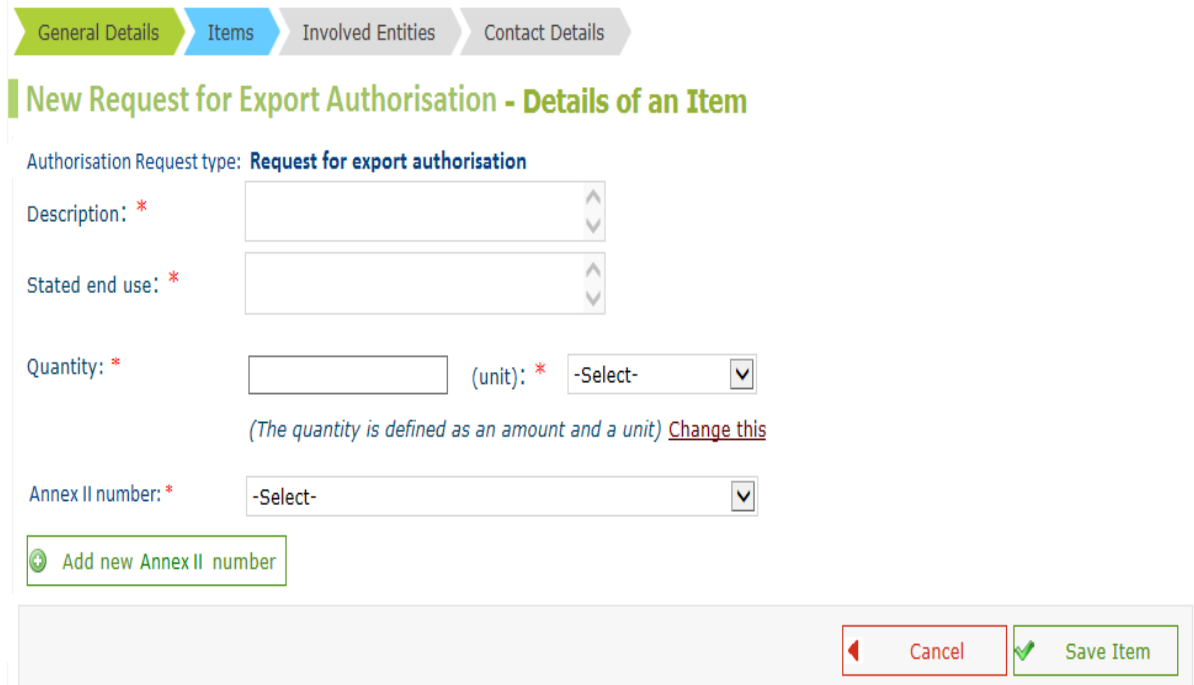
Section "Items"

'Description*'

'Stated end-use*'

'Quantity*'

'Annex II number*'



General Details Items Involved Entities Contact Details

New Request for Export Authorisation - Details of an Item

Authorisation Request type: **Request for export authorisation**

Description: *

Stated end use: *

Quantity: * (unit): * -Select-

(The quantity is defined as an amount and a unit) [Change this](#)

Annex II number: * -Select-

Request for Export Authorisation (4)

Section "Involved entities"

Add an Exporter

- 'Name*'/ 'Address' / 'City' / 'Zip code' / 'Country*' / 'Phone' / 'Fax' / 'Email' / 'Web Site'

Add a Consignee

Add an End-User *



New Request for Export Authorisation - List of Involved Entities

For an export authorisation request, one exporter is mandatory. Also, a Consignee or an End User must be

Type	Name	Address	City	Zip Code	Country	Phone	Fax	E-mail	Web Site	Entity of Concern
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Request for Export Authorisation (5)

Section "Involved entities"

Add an End User*

General Details > Items > **Involved Entities** > Contact Details

New Request for Export Authorisation - Details of an Entity

Authorisation Request type: **Request for export authorisation**

Entity Type: **End User**

Name: *

Address:

City:

Zip Code:

Country: **Iran**

Phone:

Fax:

E-mail:

Web Site:

Request for Export Authorisation (6)

Section “Contact Details”

'Title*'

'Firstname*'/ 'Lastname*'

'Organisation'

'Address'

'City'

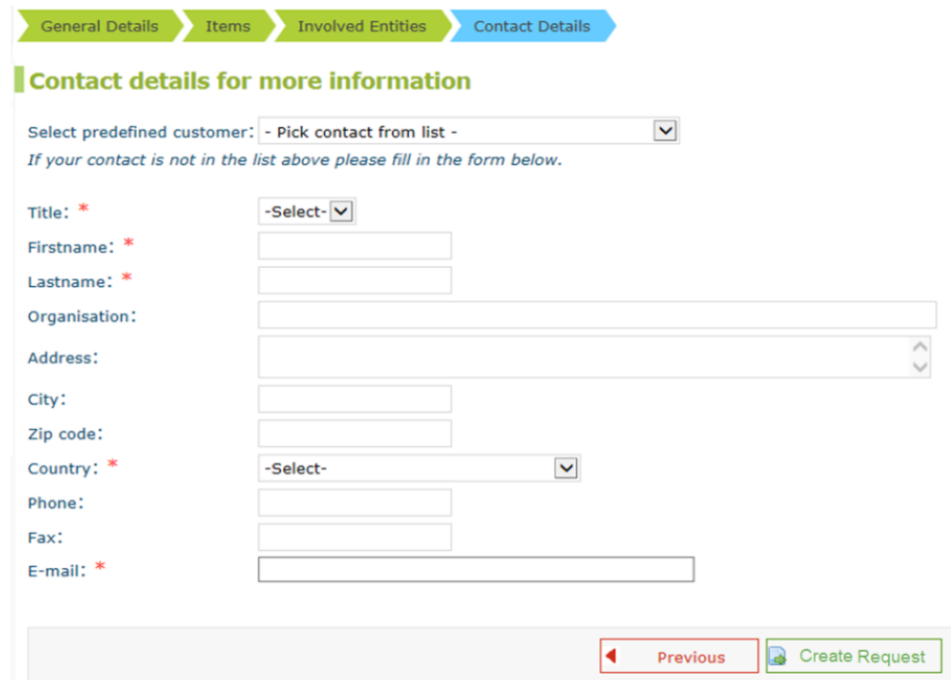
'Zip code'

'Country*'

'Phone'

'Fax'

'Email*'



General Details Items Involved Entities Contact Details

Contact details for more information

Select predefined customer: - Pick contact from list -

If your contact is not in the list above please fill in the form below.

Title: * -Select-

Firstname: *

Lastname: *

Organisation:

Address:

City:

Zip code:

Country: * -Select-

Phone:

Fax:

E-mail: *

Previous Create Request

This section will also include the option allowing for selection of predefined customer.

Request for Brokering Authorisation (1)

Section “General details”

'Notifying Member State*'

'Country of Origin*'

'Domestic reference (if any)'

'Additional information'

Request for Brokering Authorisation (2)

Section “Items”

'Description*'

'Stated end-use*'

'Quantity*'

'Annex II number*'

Request for Brokering Authorisation (3)

Section “Involved entities”

Add a Broker

- 'Name*'/ '**Person type***' / '**Residence/Establishment***' (**28 EU MS**) / 'Address' / 'City' / 'Zip code' / 'Country*' / 'Phone' / 'Fax' / 'Email' / 'Web Site'

Add an Exporter

Add a Consignee

Add an End-User*

- See above
- By default, 'Country' will be set to '**Iran**'

Request for Brokering Authorisation (4)

Section "Contact Details"

'Title*'

'Firstname*'

'Lastname*'

'Organisation*'

'Address'

'City'

'Zip code'

'Country*'

'Phone'

'Fax'

'Email*'

Request for provision of technical assistance (1)

Section “General details”

'Notifying Member State*'

'Domestic reference (if any)'

'Additional information'

Request for provision of technical assistance (2)

Section "Items"

'Description*'

'Stated end-use*'

'Quantity*'

'Annex II number*'

Request for provision of technical assistance (3)

Section “Involved entities”

Add a Provider of Technical assistance

- 'Name*'/ 'Address' / 'City' / 'Zip code' / 'Country*' / 'Phone' / 'Fax' / 'Email' / 'Web Site'

Add a Consignee

Add an End-User*

- See above
- By default, 'Country' will be set to **'Iran'**

Request for provision of technical assistance (4)

Section "Contact Details"

'Title*'

'Firstname*'

'Lastname*'

'Organisation*'

'Address'

'City'

'Zip code'

'Country*'

'Phone'

'Fax'

'Email*'



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Thank you for your attention!